

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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|-------------------|---------------------------------------------------------------------------------------------------|------------------|------------------------------|
| Applicant: | Koichiro Watanabe | Examiner: | Unassigned |
| Serial No: | To be assigned | Art Unit: | Unassigned |
| Filed: | Herewith | Docket: | 17550 |
| For: | OPTICAL DEFLECTION DEVICE, OPTICAL SWITCH, AND CONTROL METHOD OF OPTICAL DEFLECTION SURFACE | | Dated: March 23, 2004 |

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. International Publication No. WO 01/95013 A2, published December 13, 2001;
2. United States Patent No. 6,335,811 B1, dated January 1, 2002 to Sakanaka; and
3. R. Sawada et al., "8th Chapter Design and Simulation of Optical Micromachine", November 2002, pp. 159.

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

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Date of Deposit: March 23, 2004

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

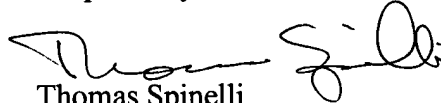
Dated: March 23, 2004


Thomas Spinelli

Applicant is submitting copies of references 1 and 3. Reference 2 is not being submitted herewith, as copies of U.S. patents are no longer required per the waiver of 37 C.F.R. § 1.98(a)(2)(i) in effect as of June 30, 2003. The relevance of the above-cited references has been described in the specification.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Thomas Spinelli", written over the printed name.

Thomas Spinelli

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| Form PTO-1449 U.S. DEPARTMENT OF COMMERCE (REV. 7-80) PATENT AND TRADEMARK OFFICE <div style="text-align: center;">LIST OF PRIOR ART CITED BY APPLICANT</div> <div style="text-align: center;"><i>(Use several sheets if necessary)</i></div> | | | | Atty. Docket No. (Optional) 17550 | | Application Number To be assigned | |
| | | | | Applicant(s) Koichiro Watanabe | | | |
| | | | | Filing Date Herewith | | Group Art Unit Unassigned | |
| U.S. PATENT DOCUMENTS | | | | | | | |
| EXAMINER INITIAL* | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE (if appropriate) |
| | AA | 6,335,811 B1 | 1/1/02 | Sakanaka | | | |
| | AB | | | | | | |
| | AC | | | | | | |
| | AD | | | | | | |
| | AE | | | | | | |
| FOREIGN PATENT DOCUMENTS | | | | | | | |
| | REF | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |
| | | | | | | | YES NO |
| | | WO 01/95013 A2 | 12/13/01 | PCT | | | |
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| OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i> | | | | | | | |
| | | R. Sawada et al., "8 th Chapter Design and Simulation of Optical Micromachine", November 2002, pp. 159 | | | | | |
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| EXAMINER | | | | DATE CONSIDERED | | | |
| * EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | | |